# CVPR 2020 Tutorial: Towards Annotation-Efficient Learning Few-Shot Learning Methods

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https://annotation-efficient-learning.github.io/

### Agenda

- Introduction
- Main types of few-shot algorithms
- Few-shot learning without forgetting

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- Introduction
  - Few-shot learning
  - Meta-learning paradigm
  - How to evaluate
- Main types of few-shot learning
- Few-shot learning without forgetting

## **Few-shot learning**



- Have you seen before an okapi?
- Can you learn to recognize it from only this image?

## **Few-shot learning**



- Humans: able to learn new concepts using few training examples
- Goal of few-shot learning: mimic this ability with machine learning methods

## Few-shot before the deep learning "revolution"

- "One-shot learning of simple visual concepts", Lake et al. 11
- "One-Shot Learning with a Hierarchical Nonparametric Bayesian Model", Salakhutdinov et al. 12
- "A Bayesian Approach to Unsupervised One-Shot Learning of Object Categories", Fei Fei et al. 13
- "Human-level concept learning through probabilistic program induction", Lake et al. 15



Here we will focus on deep learning based methods

# Formally: Learn N-way K-shot classification tasks

- **N** = number of classes
- K = training examples per class, as small as 1 or 5!



**Example: 5**-way **1**-shot classification task

# Formally: Learn N-way K-shot classification tasks

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**Example: 5**-way **1**-shot classification task

**Question:** is this possible with deep learning-based models?

# Train directly a deep learning model



- Train from scratch a classification network
- Overfit to training data → poor accuracy on test data ⊗



#### **Overcome data scarcity with transfer learning**

Recipe followed by all few-shot learning methods



- 1. Acquire knowledge: train on other similar problems
- 2. Transfer knowledge: adapt to the problem of interest



- 1. Acquire knowledge: use many training data from some base classes
- 2. Transfer knowledge: adapt to novel classes with few training data



# **Common transfer learning example: Fine-tuning**



- 1. Acquire knowledge: pre-train a network on the base class data
- 2. Transfer knowledge: fine-tune the network on novel class data

#### **Few-shot learning methods**

Fine-tuning: risk of overfitting in case of extremely limited data (few-shot)

**Goal of few-shot learning:** devise transfer learning algorithms that would work well in the few-shot scenario, e.g., metric learning, meta-learning methods, ...

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#### **Few-shot meta-learning**

#### Most (but not all) few-shot methods use meta-learning (learn-to-learn paradigm)

- "Evolutionary principles in self-referential learning, or on learning how to learn", Schmidhuber 1987
- "Meta-neural networks that learn by learning", Naik et al. 1992
- "Lifelong learning algorithms", Thrun 1998
- "Learning to learn by gradient descent by gradient descent", Andrychowicz et al. 16

• ...

#### What is few-shot meta-learning?

## **Few-shot classification**



- input: labeled support data, unlabeled query data
- intermediate output: model for classifying the query images
- output: predicted query labels



- Train the learning algorithm (instead of the classification model)
  - Implement it with a meta-learner f<sub>e</sub>
  - Optimize f<sub>θ</sub> on learning few-shot classification tasks (learn-to-learn)



- Train the learning algorithm (instead of the classification model)
  - Implement it with a meta-learner  $f_{\theta}$  (somehow)
  - Optimize f<sub>θ</sub> on solving few-shot classification tasks (learn-to-learn)



- Train the learning algorithm (instead of the classification model)
  - Implement it with a meta-learner  $f_{\theta}$
  - Optimize  $f_{\theta}$  on solving few-shot classification tasks (learn-to-learn)



- Train the learning algorithm (instead of the classification model)
  - Implement it with a meta-learner  $f_{\theta}$
  - Optimize  $f_{\theta}$  on solving few-shot classification tasks (learn-to-learn)



#### How to train the meta-learner?

Train it on the same conditions it will be used in 2<sup>nd</sup> learning stage (meta-test)



#### How to train the meta-learner?

• Train meta-learner  $f_{\theta}$  on solving a distribution of few-shot tasks (aka episodes)



#### How to train the meta-learner?

- Train meta-learner  $f_{\theta}$  on solving a distribution of few-shot tasks (aka episodes)
- Construct such training episodes using the base class data



#### How to train the meta-learner?

- Train meta-learner  $f_{\theta}$  on solving a distribution of few-shot tasks (aka episodes)
- Construct such training episodes using the base class data
  - by sampling N classes x (K support examples + M query examples)







**Inner part:** generate using the support set S the classification model  $m_{\varphi} = f_{\theta}(S)$ 



**Outer part:** optimize  $\theta$  w.r.t. the queries classification loss  $L(f_{\theta}(S), Q) = L(m_{\varphi}, Q)$ 



- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S)$
- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$  for each  $x_m^Q$  in Q
- 4. Optimize  $\theta$  w.r.t. the queries classification loss  $L(f_{\theta}(S), Q)$



Generate classification model  $m_{\omega} = f_{\theta}(S)$ 2.

- Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$  for each  $x_m^Q$  in Q 3.
- Optimize  $\theta$  w.r.t. the queries classification loss  $L(f_{\theta}(S), Q)$ 4.



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- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S)$
- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$  for each  $x_m^Q$  in Q'
- 4. Optimize  $\theta$  w.r.t. the queries classification loss  $L(f_{\theta}(S), Q)$



- Sample training episode (S, Q)
- 2. Generate classification model  $m_{\omega} = f_{\theta}(S)$
- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$  for each  $x_m^Q$  in Q
- Optimize  $\theta$  w.r.t. the queries classification loss  $L(f_{\theta}(S), Q)$ 4.
  - e.g., cross entropy loss  $\sum_m -log(p_m[y_m^Q])$



- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$  for each  $x_m^Q$  in Q
- Optimize  $\theta$  w.r.t. the queries classification loss  $L(f_{\theta}(S), Q)$ 4.
  - must back-propagate through the few-shot learning process

Meta-training routine:

1.
# Meta-learning: test time (2<sup>nd</sup> learning stage)

#### Meta-training time (1st learning stage)



Few-shot classification

#### meta-learner at test time:

- remains fixed (typically)
- generates a model for novel classes

## From Supervised Learning to Meta-Learning

- training → meta-training
- test time → meta-test time
- mini-batch of images → mini-batch of few-shot episodes
- training data → meta-training data = all possible training episodes
- test data  $\rightarrow$  meta-test data = test episodes

# **Few-shot learning vs Meta-learning**

#### **Few-shot learning:**

- Any transfer learning method that targets on transferring well with limited data
- E.g.: pre-train + fine-tuning, or using metric learning, or using meta-learning

#### Meta-learning:

- Learn the learning algorithm itself
  - "Learning to learn by gradient descent by gradient descent", Andrychowicz et al. 16
- Ingredient of many few-shot algorithms,
- Also used in multi-task learning, RL, ...

- Introduction
  - Few-shot learning problem
  - Meta-learning paradigm
  - How to evaluate
- Main types of few-shot learning algorithms
- Few-shot learning without forgetting

## How to evaluate few-shot algorithms



**Example of 5-way 1-shot test task** 

2<sup>nd</sup> learning stage (meta-test time for meta-learning):

- Use a held out set of classes
- Sample a large number of N-way K-shot few-shot tasks
- Report average accuracy on the N x M query examples of all tasks

## How to evaluate few-shot algorithms

#### **Datasets / benchmarks**

#### Omniglot: Lake et al. 11

- 1623 characters from 50 alphabets
- 20 instances per character / class
- 5-way and 20-way 1-shot or 5-shot tasks



#### MinilmageNet: Ravi et al. 17

- 84x84 sized images
- 100 classes: 64 train, 16 val, 20 test
- 1-shot 5-way & 5-shot 5-way tasks



#### ImageNet-FS: Hariharan et al. 17

- normal ImageNet images
- classes: 389 train, 300 val, 311 test
- 311-way 1, 2, 5, 10, or 20 shot tasks
- more realistic & challenging setting



Also: tiered-MiniImageNet (Ren et. al. 18), CIFAR-FS (Bertinetto et al 19), CUB, Tracking in the wild (Valmadre et al. 18), ...

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  - Metric learning
  - Meta-learning with memory modules
  - Optimization based meta-learning
  - Learn to predict model parameters
- Few-shot learning without forgetting

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**Disclaimer:** loose categorization, many combine elements of several types, not exhaustive enumeration

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# **Metric learning for few-shot classification**



- 1<sup>st</sup> learning stage: train a deep metric function on the base class data
- 2<sup>nd</sup> learning stage: use it as a nearest neighbor classifier to novel classes
  - Non-parametric at this stage
  - Simple and works well with limited data

#### **Siamese neural networks**



#### Siamese network:

- Given two images: outputs a similarity / distance score.
- Similarity score: 1 if the two image belong to the same class, 0 otherwise

"Siamese neural networks for one-shot image recognition", O. Koch et. al. 2015

### **Siamese neural networks**

#### 1<sup>st</sup> learning stage – verification task:

Learn with a siamese convnet if 2 images belong to same / different classes.

## 2<sup>nd</sup> stage (convnet is fixed): Classify query to most similar support image





# **Metric learning**

. . .

#### Extensive work on (deep) metric learning:

- "Neighborhood Component Analysis", Goldberger et. al. 05
- "Dimensionality Reduction by Learning an Invariant Mapping", Hadsell et. al. 06
- "Distance Metric Learning for Large Margin Nearest Neighbor Classification", Weinberger et. al. 09
- "Deep Metric Learning Using Triplet Network", Hoffer et. al. 15
- "Web-Scale Training for Face Identification", Taigman et. al. 15
- "FaceNet: A Unified Embedding for Face Recognition and Clustering", Schroff et al 15

Train the metric model on the same way it would be used at 2<sup>nd</sup> learning stage

Learn to match



- Learn to match
  - Extract features from the query and support images



- Learn to match
  - Extract features from the query and support images
  - Classify with differentiable (soft) nearest neighbor classifier



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# **Meta-training in Matching Networks**

- Meta-learner  $f_{\theta}$ : feature extractor  $F_{\theta}(\cdot)$
- Generated model  $m_{\varphi}$ : extractor  $F_{\theta}(\cdot)$  with support features  $\{F_{\theta}(x_k^S), y_k^S\}_{k=1}^{N*K}$



# **Meta-training in Matching Networks**



#### Meta-training routine:

- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S) = \{F_{\theta}(\cdot), \{F_{\theta}(x_k^S), y_k^S\}_{k=1}^{N*K}\}$
- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q) = \sum_k a(x_m^Q)[k] \cdot one\_hot(y_k^S)$
- 4. Optimize  $\theta$  w.r.t. the query classification loss  $L(f_{\theta}(S), Q) = \sum_{m} -log(p_{m}[y_{m}^{Q}])$

# **Meta-training in Matching Networks**



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Model	Fine Tune	<b>5-way Acc</b> 1-shot 5-shot	20-way Acc 1-shot 5-shot
BASELINE CLASSIFIER	Y	86.0% 97.6%	72.9% 92.3%
MANN (NO CONV) [21]	N	82.8%94.9%96.7%98.4%97.3%98.4%	
Convolutional Siamese Net [11]	N		88.0% 96.5%
Convolutional Siamese Net [11]	Y		88.1% 97.0%
MATCHING NETS (OURS)	N	98.1%98.9%97.9%98.7%	<b>93.8%</b> 98.5%
MATCHING NETS (OURS)	Y		93.5% <b>98.7%</b>

Table 1: Results on the Omniglot dataset.

Model	Fine Tune	<b>5-way Acc</b> 1-shot 5-shot	
<b>BASELINE CLASSIFIER</b>	Y	38.4% 51.2%	
MATCHING NETS (OURS)	N	44.2% 57.0%	
MATCHING NETS (OURS)	Y	46.6% 60.0%	

Table 2: Results on miniImageNet.

#### Metric learning:

better results than pre-training & fine-tuning

Meta-training:

improves over siamese networks

- K > 1 support example per class:
- Independently matches a query with each support example
- Can we do something smarter?



- Learn to extract class prototypes for comparisons:
  - prototype: aggregates information of all support images in a class



"Prototypical Networks for Few-Shot Learning", Snell et al. 2017

- prototype *i*-th class = mean training feature vector of its support set S<sub>i</sub>
  - K=1: the same as matching networks

$$c_{i} = \frac{1}{|S_{i}|} \sum_{\left(x_{k}^{S}, y_{k}^{S}\right) \in S_{i}} F_{\theta}\left(x_{k}^{S}\right)$$



prototype *i*-th class = mean training feature vector of its support set S<sub>i</sub>

$$c_{i} = \frac{1}{|S_{i}|} \sum_{\left(x_{k}^{S}, y_{k}^{S}\right) \in S_{i}} F_{\theta}\left(x_{k}^{S}\right)$$



Classify to closest prototype with prob.

$$p[i] = m_{\varphi}(x^{Q})[i] = \frac{\exp\left(-\operatorname{dist}(F_{\theta}(x^{Q}), c_{i})\right)}{\sum_{j}^{N} \exp\left(-\operatorname{dist}\left(F_{\theta}(x^{Q}), c_{j}\right)\right)}$$

Distance dist( $\cdot$ , $\cdot$ ): Euclidean or cosine

prototype *i*-th class = mean training feature vector of its support set S<sub>i</sub>

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Prototypes: similar to output weights of a classification network with bias = 0

prototype *i*-th class = mean training feature vector of its support set S<sub>i</sub>

$$c_{i} = \frac{1}{|S_{i}|} \sum_{\left(x_{k}^{S}, y_{k}^{S}\right) \in S_{i}} F_{\theta}\left(x_{k}^{S}\right)$$



Classify to closest prototype with prob.

$$p[i] = m_{\varphi}(x^{Q})[i] = \frac{\exp\left(-\operatorname{dist}(F_{\theta}(x^{Q}), c_{i})\right)}{\sum_{j}^{N} \exp\left(-\operatorname{dist}(F_{\theta}(x^{Q}), c_{j})\right)}$$

During meta-training (optimizing  $F_{\theta}$ ): back-propagate through the prototypes too

# **Meta-training in Prototypical Networks**



#### **Meta-training routine:**

- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S) = \{F_{\theta}(\cdot), \{c_i\}_{i=1}^N\}$

3. Predict classification scores 
$$p_m = m_{\varphi}(x_m^Q) = \frac{\exp(-\operatorname{dist}(F_{\theta}(x^Q),c_i))}{\sum_j^N \exp(-\operatorname{dist}(F_{\theta}(x^Q),c_j))}$$

4. Optimize  $\theta$  w.r.t. the query classification loss  $L(f_{\theta}(S), Q) = \sum_{m} -log(p_{m}[y_{m}^{Q}])$ 

# **Meta-training in Prototypical Networks**



#### **Meta-training routine:**

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Table 2: Few-shot classification accuracies on *mini*ImageNet. All accuracy results are averaged ove 600 test episodes and are reported with 95% confidence intervals.

			5-way Acc.		
Model	Dist.	Fine Tune	1-shot	5-shot	
<b>BASELINE NEAREST NEIGHBORS</b> *	Cosine	Ν	$28.86 \pm 0.54\%$	$49.79\pm0.79\%$	
MATCHING NETWORKS [29]*	Cosine	Ν	$43.40 \pm 0.78\%$	$51.09 \pm 0.71\%$	
MATCHING NETWORKS FCE [29]*	Cosine	Ν	$43.56 \pm 0.84\%$	$55.31 \pm 0.73\%$	
Meta-Learner LSTM [22]*	-	Ν	$43.44 \pm 0.77\%$	$60.60 \pm 0.71\%$	
<b>PROTOTYPICAL NETWORKS (OURS)</b>	Euclid.	Ν	$\textbf{49.42} \pm \textbf{0.78\%}$	$\textbf{68.20} \pm \textbf{0.66\%}$	



For K>1 shots per class: prototype vectors with Euclidean distance have better accuracy than individual comparison with each support example (Matching Nets)

**Implement distance function in prototypical nets with a relation network** "Learning to Compare: Relation Network for Few-Shot Learning", Sung et. al. 18



Learn to synthesize additional support examples for the metric function

"Low-shot learning from imaginary data", Wang et.al. 18



"Image deformation meta-networks for one-shot learning", Chen et.al. 19



Figure 2. The overall architecture of our image deformation meta-network (IDeMe-Net).

Propagate with a GNN information from the labeled support set to the query

"Few-shot Learning with Graph Neural Networks", Garcia et al. 18



**Task-adaptive metric function based on task-context representations** "TADAM: Task dependent adaptive metric for improved few-shot learning", Oreshkin et. al. 18



In general: simple and effective methods

#### But, meta-training can be bothersome:

- Train a different metric function for each possible K or N
- For small N → training with easy examples
- Not all methods follow this rule, but then, how to tune N, K and M?

In general: simple and effective methods

But, meta-training can be bothersome

Is meta-training really necessary for learning good features?

# **Cosine distance based classification network**

- Train typical classification network: feature extractor + classification head
- Classification head: replace dot-product (i.e., linear layer) with cosine distance



"Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 2018

"Low-Shot Learning with Imprinted Weights", Qi et al. 2018
# Why distance based classification head?

#### Enforces similar behavior as metric learning models:

 Given an image, the learned feature must maximize (minimize) cosine similarity with weight vector of the correct class (incorrect classes)



{■ ▲ ●}: L2-normalized weight vectors of base classes

# Why distance-based classification head?

#### Enforces similar behavior as metric learning models

- Learn features with reduced intra-class variance ->
- Better generalization to novel classes



(a) Cosine-similarity based features of novel categories

(b) Dot-product based features of novel categories

# **Cosine distance based classification network**

- 1<sup>st</sup> learning stage: standard training using the base class data
  - Trains the extractor  $f_{\theta}$  and classification weights  $W_b$  of base classes
  - Much simpler than meta-training based metric methods



Image source (modified): Chen et al. 2019

# **Cosine distance based classification network**

- 2<sup>nd</sup> stage: fix extractor  $f_{\theta}$  + "learn" only the classification weights  $W_n$ :
  - compute W<sub>n</sub> with prototypical feature averaging

$$w_{i} = \frac{1}{|S_{i}|} \sum_{(x_{k}^{S}, y_{k}^{S}) \in S_{i}} f_{\theta}(x_{k}^{S}), \forall w_{i} \in W_{n}$$



Image source (modified): Chen et al. 2019

# **Cosine classifier**

Models	1-Shot	5-Shot
Matching-Nets [26] Prototypical-Nets [23]	$\begin{array}{c} 55.53 \pm 0.48\% \\ 54.44 \pm 0.48\% \end{array}$	$\begin{array}{c} 68.87 \pm 0.38\% \\ 72.67 \pm 0.37\% \end{array}$
Cosine Classifier	$54.55 \pm 0.44\%$	$72.83\pm0.35\%$

 Table 1: 5-way accuracies on MiniImageNet.

# Simpler training with better results than Matching and Prototypical Nets

Approach	<sub>K</sub> =1	2	5	10	20	
Prior work						
Prototypical-Nets	39.3	54.4	66.3	71.2	73.9	
Matching Networks	43.6	54.0	66.0	72.5	76.9	
Cosine Classifier	45.23	56.90	68.68	74.36	77.69	

Table 2: 311-way accuracies on ImageNet-FS for K=1, 2, 5, 10, or 20 examples per novel class.

Source: "Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18

## **Cosine classifier**

#### Learn an ensemble of cosine classifiers

"Diversity with cooperation: ensemble methods for few-shot classification", Dvornik et al. 18



#### **Dense (cosine-based) classification & implanting new task-specific layers** "Dense classification and implanting for few-shot learning", Lifchitz et al. 19



#### Learn to predict class prototypes for pre-trained cosine classifiers Gidaris et al. 18, Gidaris et al. 19



Learn to predict class prototypes for cosine classifiers leveraging word embedding based knowledge graphs Peng et al. 19



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- Few-shot classification:
  - input: labeled support data, unlabeled query data
  - intermediate step: generate model
  - output: predicted query labels



- Few-shot classification:
  - input: labeled support data, unlabeled query data
  - intermediate step: generate model → store support data to memory
  - output: predicted query labels by accessing the memory

Treats few-shot classification as a "black box" prediction problem

"A Simple Neural Attentive Meta-Learner", Mishra et al. 18



"Meta-Learning with Memory-Augmented Neural Networks", Santoro et al. 16



## **Example: Simple Neural Attentive Meta-Learner**

#### • Few-shot as a sequence labeling task:

• Given past labeled images, what is the label of the current query image



"A Simple Neural Attentive Meta-Learner", Mishra et al. 18

# **Example: Simple Neural Attentive Meta-Learner**

- Meta-learner implementation:
  - Temporal convolutions: aggregates past information
  - Attentional Module: pinpoints to query-specific past information
    - "Attention is all you need", Vaswani et al. 17



"A Simple Neural Attentive Meta-Learner", Mishra et al. 18



#### Meta-training routine:

- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S)$
- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$
- 4. Optimize  $\theta$  w.r.t. the query classification loss  $L(f_{\theta}(\cdot | S), Q) = \sum_{m} -log(p_{m}[y_{m}^{Q}])$



- More generic than metric learning methods
  - applicable to other learning problems: regression, RL, ...

- More data hungry (for training the meta-learner)
- More computational expensive

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Key idea: few-shot classification as a parameters optimization problem

"Optimization as a Model for Few-Shot Learning", Ravi et al. 17

Here we will focus on MAML: "Model-Agnostic Meta-Learning", Finn et al. 17

**Fine-tuning:** start from  $\theta$  and optimize w.r.t. training loss  $L(\theta, S)$  using gradient steps:

$$\boldsymbol{\varphi} \leftarrow \boldsymbol{\theta} - \boldsymbol{\alpha} \, \boldsymbol{\nabla}_{\boldsymbol{\theta}} L(\boldsymbol{\theta}, \boldsymbol{S})$$
 (to simplify the description: only the 1<sup>st</sup> step)

 $\varphi$ : parameters of novel class model  $m_{\varphi}$ 

Fine-tuning with limited data: requires "good" pre-trained parameters  $\theta$ 

**Fine-tuning:** start from  $\theta$  and optimize w.r.t. training loss  $L(\theta, S)$  using gradient steps:

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 (to simplify the description: only the 1<sup>st</sup> step)

 $\varphi$ : parameters of novel class model  $m_{\varphi}$ 

Fine-tuning with limited data: requires "good" pre-trained parameters  $\theta$ 



$$\min_{\theta} \sum_{(S,Q)} L(\theta - \alpha \nabla_{\theta} L(\theta,S),Q)$$



**MAML:** meta-learn  $\theta$  so that it transfers well via fine-tuning

 $\min_{\boldsymbol{\theta}} L(\boldsymbol{\theta} - \boldsymbol{\alpha} \nabla_{\boldsymbol{\theta}} L(\boldsymbol{\theta}, \boldsymbol{S}), \boldsymbol{\theta})$ 

inner optimization:

Fine-tunes  $\theta$  for the task using the **support data** *S* 



# **MAML:** meta-learn $\theta$ so that it transfers well via fine-tuning $\min_{\theta} \sum_{(S, 0)} L(\theta - \alpha \nabla_{\theta} L(\theta, S), Q)$

#### outer optimization:

minimizes w.r.t.  $\theta$  all the task-specific classification losses of the query data Q

#### **Meta-training routine:**

- 1. Sample training episode (S, Q)
- 2. Inner optimization (fine-tune using train data S):  $m_{\varphi=\theta-\alpha \nabla_{\theta}L(\theta,S)}$
- 3. Predict classification scores  $p_m = m_{\varphi}(x_m^Q)$  for each query  $x_m^Q$
- 4. Outer optimization: optimize  $\theta$  w.r.t. the loss on query data Q:

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 $\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} - \boldsymbol{\beta} \, \boldsymbol{\nabla}_{\boldsymbol{\theta}} \boldsymbol{L}(\boldsymbol{\theta} - \boldsymbol{\alpha} \, \boldsymbol{\nabla}_{\boldsymbol{\theta}} \boldsymbol{L}(\boldsymbol{\theta}, \boldsymbol{S}), \boldsymbol{Q})$ 

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back-propagate through gradient descent  $\rightarrow$  2<sup>nd</sup> order gradients w.r.t.  $\theta$ 



Figure 1: Illustrative diagram of our model-agnostic meta-learning algorithm (MAML), which optimizes for a representation  $\theta$  that can quickly adapt to new tasks.

$$\min_{\boldsymbol{\theta}} \sum_{(\boldsymbol{S},\boldsymbol{Q})} \boldsymbol{L}(\boldsymbol{\theta} - \boldsymbol{\alpha} \, \boldsymbol{\nabla}_{\boldsymbol{\theta}} \boldsymbol{L}(\boldsymbol{\theta},\boldsymbol{S}),\boldsymbol{Q})$$



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	5-way Accuracy		20-way Accuracy	
Omniglot (Lake et al., 2011)	1-shot	5-shot	1-shot	5-shot
MANN, no conv (Santoro et al., 2016)	82.8%	94.9%	_	—
MAML, no conv (ours)	$89.7 \pm \mathbf{1.1\%}$	$97.5 \pm \mathbf{0.6\%}$	—	—
Siamese nets (Koch, 2015)	97.3%	98.4%	88.2%	97.0%
matching nets (Vinyals et al., 2016)	98.1%	98.9%	93.8%	98.5%
neural statistician (Edwards & Storkey, 2017)	98.1%	99.5%	93.2%	98.1%
memory mod. (Kaiser et al., 2017)	98.4%	99.6%	95.0%	98.6%
MAML (ours)	$98.7\pm\mathbf{0.4\%}$	$99.9 \pm \mathbf{0.1\%}$	$95.8 \pm 0.3\%$	$98.9\pm\mathbf{0.2\%}$

	5-way Accuracy		
MiniImagenet (Ravi & Larochelle, 2017)	1-shot	5-shot	
fine-tuning baseline	$28.86 \pm 0.54\%$	$49.79 \pm 0.79\%$	
nearest neighbor baseline	$41.08 \pm 0.70\%$	$51.04 \pm 0.65\%$	
matching nets (Vinyals et al., 2016)	$43.56 \pm 0.84\%$	$55.31 \pm 0.73\%$	
meta-learner LSTM (Ravi & Larochelle, 2017)	$43.44 \pm 0.77\%$	$60.60 \pm 0.71\%$	
MAML, first order approx. (ours)	$48.07 \pm \mathbf{1.75\%}$	$63.15 \pm 0.91\%$	
MAML (ours)	$48.70 \pm \mathbf{1.84\%}$	$63.11 \pm 0.92\%$	

- Consistent with the standard fine-tuning procedure
- Model-agnostic: can accommodate any network architecture
- Applicable to other problems: regression, RL, ...

- 2<sup>nd</sup> order gradients: computationally and memory expensive
- Difficult to train large models
- Need to train a different meta-learner for each N (classes) and K (shots)

- "Optimization as a Model for Few-Shot Learning", Ravi et al. 17
  - Learns the gradient descent step with an LSTM
  - Actually precedes MAML
- MAML with only  $1^{st}$  order derivatives for meta-learning  $\theta$ 
  - "Model-Agnostic Meta-Learning", Finn et al. 17
  - "On first-order meta-learning algorithms", Nichol et al.18
- "Meta-SGD: Learning to quickly learn for few-shot learning", Li et al. 17
- "Meta-learning with implicit gradients", Rajeswaran et al. 19
- "Meta-learning with warped gradient descent", Flennerhag et al. 20
- Optimize low-dimensional latent task embedding (hybrid method):
  - "Meta-learning with latent embedding optimization", Rusu et al. 19
- Meta-learning with closed-form / convex solvers (for output-classification layer):
  - ridge/logistic regression: "Meta-learning with differentiable closed-form solvers", Bertinetto et al. 19
  - support vector machine: "Meta-learning with differentiable convex optimization", Lee et al. 19

#### Meta-learning with differentiable convex optimization



Figure 1. Overview of our approach. Schematic illustration of our method MetaOptNet on an 1-shot 3-way classification task. The meta-training objective is to learn the parameters  $\phi$  of a feature embedding model  $f_{\phi}$  that generalizes well across tasks when used with regularized linear classifiers (*e.g.*, SVMs). A task is a tuple of a few-shot training set and a test set (see Section 3 for details).

Key idea: meta-learn good features for SVM linear classifiers

"Meta-learning with differentiable convex optimization", Lee et al. 19

#### Meta-learning with differentiable closed-form solvers



Key idea: meta-learn good features for closed-form solvers for the output layer of the classification network

Ridge-regression or logistic regression

"Meta-learning with differentiable closed-form solvers", Bertinetto et al. 19
# Agenda

- Introduction
- Main types of few-shot learning algorithms
  - Metric learning
  - Meta-learning with memory modules
  - Optimization based meta-learning
  - Learn to predict model parameters
- Few-shot learning without forgetting

### Learn to predict model parameters

Key idea: train the meta-learner to predict task-specific model parameters

#### Usually, a small subset of model parameters:

- Predict diagonal of factorized weights:
  - "Learning feed-forward one-shot learners", Bertinetto et al.16
- Predict weights of classification head
  - "Learning to model the tail", Wang et al. 17

#### Learn to predict model parameters

Key idea: train the meta-learner to predict task-specific model parameters

Here focus:

- predicting the weights of the classification head
- in the context of the "few-shot learning without forgetting" problem

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# **Few-shot learning without forgetting**



#### **Typical few-shot models:**

- focus on learning novel classes with limited data
- but "forget" the initial base classes ⊗
  - "forget": worse than base class models or unable to recognize base classes

# **Few-shot learning without forgetting**



- In contrast, practical applications often require:
  - to extend base classes with novels ones using few training data
  - and without re-training on the full dataset (base+novel)
- "Few-shot learning without forgetting" targets this problem
  - combines elements from both incremental and few-shot learning

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- Introduction
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  - Meta-learning with memory modules
  - Optimization-based meta-learning
  - Learn to predict classification weights
- Few-shot learning without forgetting

The description of the "Learn to predict classification weights" methods is in the context of the "few-shot learning without forgetting" setting.



- Pre-trained network: feature extractor + cosine classification head
- Extend with parameter-generating function:
  - outputs: new weights for the novel classes

"Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18

"Low-Shot Learning with Imprinted Weights", Qi et al. 18

"Few-Shot Image Recognition by Predicting Parameters from Activations", Qiao et al. 18

"Learning to model the tail", Wang et al. 17



- Important to use cosine classification head:
  - L<sub>2</sub>-normalize weights: all classes have same L<sub>2</sub> norms
    - avoids class imbalance: biasing towards classes with bigger L<sub>2</sub>norms
  - Easier to add novel weights → unified recognition of both type of classes



- Important to use cosine classification head:
- Beneficial in the traditional incremental learning setting as well:
  - "Learning a unified classifier incrementally via rebalancing", Hou et al. 19
  - "Memory efficient incremental through feature adaptation" Iscen et al. 20



(a)

(b)

Figure 2. Illustration of imprinting in the normalized embedding space. (a) Before imprinting, the decision boundaries are determined by the trained weights. (b) With imprinting, the embedding of an example (the yellow point) from a novel class defines a new region.

Source: "Low-Shot Learning with Imprinted Weights", Qi et al. 18

#### **Meta-training routine:**

- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S)$
- 3. Classification scores  $p_m = m_{\varphi}(x_m^Q)$
- 4. Optimize  $\theta$  w.r.t. the query classification loss  $L(f_{\theta}(S), Q) = \sum_{m} -log(p_{m}[y_{m}^{Q}])$

#### incremental few-shot episode:

- randomly choose some base classes as "fake" novel
- *S*: examples from the "fake" novel classes
- *Q*: examples form both "fake" novel and remaining base

#### **Meta-training routine:**

- 1. Sample training episode (S, Q)
- 2. Generate classification model  $m_{\varphi} = f_{\theta}(S)$
- 3. Classification scores  $p_m = m_{\varphi}(x_m^Q)$

4. Optimize  $\theta$  w.r.t. the query classification loss, e.g.:  $L(f_{\theta}(S), Q) = \sum_{m} -log(p_{m}[y_{m}^{Q}])$ 



4. Optimize  $\theta$  w.r.t. the query classification loss  $L(f_{\theta}(S), Q) = \sum_{m} -log(p_{m}[y_{m}^{Q}])$ 



3. Classification scores  $p_m = m_{\varphi}(x_m^Q)$  for both "fake" novel and base classes

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- 4. Optimize  $\theta$  w.r.t. the query classification loss  $L(f_{\theta}(S), Q) = \sum_m -log(p_m[y_m^Q])$

# Generate weights with prototypical feature averaging



 $w_i^{avg} = \frac{1}{|S_i|} \sum_{(x_{\nu}^S, y_{\nu}^S) \in S_i} F_{\theta} \left( x_k^S \right)$ 

#### Simplest case:

- S<sub>i</sub>: support set of *i*-th novel class
- novel weight = average feature vector of S<sub>i</sub>:

"Low-Shot Learning with Imprinted Weights", Qi et al. 18 "Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18

# Generate weights with prototypical feature averaging



No meta-learning here

 $w_i^{avg} = \frac{1}{|S_i|} \sum_{(x_k^S, y_k^S) \in S_i} F_{\theta} \left( x_k^S \right)$ 

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- novel weight = average feature vector of S<sub>i</sub>:

"Low-Shot Learning with Imprinted Weights", Qi et al. 18 "Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18

# **Correlations between classification weights**



Many classes are semantically / visually related:

- Correlations between their classification weights
- Exploit those correlations for generating novel class weights?



Novel weight using attention over base weights w<sub>b</sub>:

$$w_i^{att} = \sum_{b=1}^{N_b} a(S_i)[b] \cdot w_b$$

N<sub>b</sub>: number of base classes

"Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18



Novel weight using attention over base weights w<sub>b</sub>:

$$w_i^{att} = \sum_{b=1}^{N_b} a(S_i)[b] \cdot w_b$$

N<sub>b</sub>: number of base classes

"Dynamic Few-Shot Visual Learning without Forgetting", S. Gidaris et al. 18



Novel weight using attention over base weights w<sub>b</sub>:

$$w_i^{att} = \sum_{b=1}^{N_b} a(S_i)[b] \cdot w_b$$

a(S<sub>i</sub>)[b]: average similarity of support features with base class weight w<sub>b</sub>
 Computed with cosine + softmax

"Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18



Novel weight using attention over base weights w<sub>b</sub>:

$$w_i^{att} = \sum_{b=1}^{N_b} a(S_i)[b] \cdot w_b$$

• Final novel weight:  $w_i^{att}$  combined with prototypical averaging weight  $w_i^{avg}$ 

"Dynamic Few-Shot Visual Learning without Forgetting", Gidaris et al. 18

# Generate weights with a GNN Denoising AutoEncoder



Learning inter-class correlations with GNN based Denoising AutoEncoders

- Nodes = classes
- Edges = each class connected to top most similar classes
- More expressive than a single layer attention mechanism

"Generating classification weights with GNN denoising auto encoders for few-shot learning", Gidaris et al. 19

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"Generating classification weights with GNN denoising auto encoders for few-shot learning", Gidaris et al. 19

# Generate weights with a GNN Denoising AutoEncoder



- Learning inter-class correlations with GNN based Denoising AutoEncoders
- DAE: reconstructs initial (noisy) prototypical averaging weights
  - Meta-training here can be data hungry
  - injecting noise during meta-training → regularize meta-training

"Generating classification weights with GNN denoising auto encoders for few-shot learning", Gidaris et al. 19

# **Few-shot learning without forgetting**

	Novel classes				All classes						
Approach	<i>K</i> =1	2	5	10	20		K=1	2	5	10	20
Prior work											
Prototypical Networks	39.3	54.4	66.3	71.2	73.9		49.5	61.0	69.7	72.9	74.6
Matching Networks	43.6	54.0	66.0	72.5	76.9		54.4	61.0	69.0	73.7	76.5
Logistic regression [Hariharan et al. 16]	38.4	51.1	64.8	71.6	76.6		40.8	49.9	64.2	71.9	76.9
Logistic regression w/ H [Hariharan et al. 16]	40.7	50.8	62.0	69.3	76.5		52.2	59.4	67.6	72.8	76.9
Prototype Matching Nets w/ H [Wang et al. 18]	45.8	57.8	69.0	74.3	77.4		57.6	64.7	71.9	75.2	77.5
Cosine Classifier with few-shot classification weight generation											
Feature Averaging [Gidaris et al. 18]	45.4	56.9	68.9	74.5	77.7		57.0	64.3	72.3	75.6	77.3
Attention Mechanism [Gidaris et al. 18]	46.2	57.5	69.2	74.8	78.1		58.2	65.2	72.7	76.5	78.7
GNN Denoising AutoEncoder [Gidaris et al. 19]	48.0	<b>59.</b> 7	70.3	75.0	77.8		59.1	66.3	73.2	76.1	77.5

Table 2: Top-5 accuracies on the novel and on all classes for the ImageNet-FS benchmark [13]. To report results we use 100 test episodes.

#### Exploiting inter-class correlations (attention, GNN) leads to better performance

Source: "Generating classification weights with GNN denoising auto encoders for few-shot learning", Gidaris et al. 18

- (Almost) simple training:
  - Single classification network, standard supervised pre-training
  - Meta-training: only for the parameter generating module
- More flexible: unified recognition of both base and novel classes
- Same test speed as typical classification networks

- The parameter generating module might be data hungry
- Constrained by quality of pre-trained representations
  - Similar to metric learning based methods

# **Learning Weights with Attention Attractor Networks**

**Optimization-based meta-learning with dynamic regularization:** 

$$W = \min_{W} \left( \text{CrossEntropyLoss}(S, W) + \sum_{i} \mathbb{R}(w_{i} - w_{i}^{att}) \right)$$

The meta-learner is trained to predict (using S<sub>i</sub>) priors w<sub>i</sub><sup>att</sup> so that the optimized weights W would
minimize the classification loss on the query set Q



Figure 3: Visualization of a 5-shot 64+5-way episode using PCA. **Left:** Our attractor model learns to "pull" prototypes (large colored circles) towards base class weights (white circles). We visualize the trajectories during episodic training; **Right:** Dynamic few-shot learning without forgetting [9].

"Incremental Few-Shot Learning with Attention Attractor Networks", Ren et al. 19

# Agenda

- Introduction
- Main types of few-shot learning algorithms
- Few-shot learning without forgetting
- Final notes



Few-shot visual learning is important

## **Final notes**

- Few-shot visual learning is important
- But, common few-shot benchmarks are insufficient
  - Omniglot: saturated
  - MiniImageNet: with proper tuning all methods achieve similar results, not realistic

## **Final notes**

- Few-shot visual learning is important
- But, common few-shot benchmarks are insufficient
  - Omniglot: saturated
  - MiniImageNet: with enough tuning all methods achieve similar results, not realistic setting

#### More realistic benchmarks:

- "Low-shot Visual Recognition by Shrinking and Hallucinating Features", Hariharan et al. 17
- "Few-Shot Learning with Localization in Realistic Settings", Wertheimer et al. 19
- "Large-Scale Long-Tailed Recognition in an Open World", Liu et al. 19
- "Meta-Dataset: A dataset for datasets for learning to learn from few examples", Triantafillou et al. 19

# **A Closer Look to Few-Shot Classification**



"A Closer Look to Few-shot classification", Chen et al. 19

Figure 3: **Few-shot classification accuracy vs. backbone depth**. In the CUB dataset, gaps among different methods diminish as the backbone gets deeper. In *mini*-ImageNet 5-shot, some meta-learning methods are even beaten by Baseline with a deeper backbone. (Please refer to

	CU	U <b>B</b>	mini-ImageNet				
Method	1-shot	5-shot	1-shot	5-shot			
Baseline	$47.12\pm0.74$	$64.16\pm0.71$	$42.11\pm0.71$	62.53 ±0.69			
Baseline++	$60.53 \pm 0.83$	$79.34 \pm 0.61$	$48.24 \pm 0.75$	$66.43 \pm 0.63$			
MatchingNet Vinyals et al. (2016)	$60.52\pm0.88$	$75.29\pm0.75$	$48.14\pm0.78$	$63.48 \pm 0.66$			
ProtoNet Snell et al. (2017)	$50.46 \pm 0.88$	$76.39\pm0.64$	$44.42\pm0.84$	$64.24 \pm 0.72$			
<b>MAML</b> Finn et al. (2017)	$54.73\pm0.97$	$75.75\pm0.76$	$46.47\pm0.82$	$62.71 \pm 0.71$			
RelationNet Sung et al. (2018)	$62.34\pm0.94$	$77.84 \pm 0.68$	$49.31\pm0.85$	$66.60 \pm 0.69$			

#### **Baseline:**

pre-training + fine-tuning last layer

**Baseline++:** cosine classifier

- Meta-learning algorithms and network designs of growing complexity, but
- Well-tuned baselines: often on par / better than SoTA meta-learning methods
- Baselines: scale better with deeper backbones

# **A different direction**

#### Focus on pre-training richer representations

- Representations that know more about the world can adapt better
- Leveraging self-supervision (see next talk by Relja and Andrey)



"Boosting few-shot visual learning with self-supervision",

""When does self-supervision improve few-shot learning?", Su et al. 19





#### Also:

"Charting the right manifold: manifold mixup for few-shot learning", Mangla et al. 20 "Rethinking few-shot image classification: a good embedding is all you need?", Tian et al. 20

# Not covered because of time constraints

- Semi-supervised few-shot / meta learning:
  - "Low-shot learning with large-scale diffusion", Douze et al. 18
  - "Meta-learning for semi-supervised few-shot classification", Triantafillou et al. 18
- Few-shot / meta learning with noise labels:
  - "Graph convolutional networks for learning with few clean and many noisy labels", Iscen et al 20
- Learning with imbalanced datasets (many-shot and few-shot classes):
  - "Learning to model the tail", Wang et al. 17
  - "Large-scale long-tailed recognition in an open world", Liu et al. 19
  - "Decoupling representation and classifier for long-tailed recognition", Kang et al. 20
- Few-shot learning beyond image classification:
  - "Few-shot object detection via feature reweighting", Kang et al. 19
  - "Meta-learning to detect rare objects", Wang et al. 19
  - "Few-shot semantic segmentation with prototype learning", Dong et al. 18
  - "PANet: Few-shot image semantic segmentation with prototype alignment", Wang et al. 19
  - "Tracking by Instance Detection: A Meta-Learning Approach", Wang et al. 20
  - ...
## The end